



Preparer's Docket Number: 00-0564-56/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sanderson L. Wayne, et al. §
Application No.: 09/818,975 § Group/Art Unit:
Filed: 27-Mar-2001 § Examiner:
For: *Loss Compensating Optical Splitter*

INFORMATION DISCLOSURE STATEMENT

PURSUANT TO 37 C.F.R. § 1.97 and § 1.98

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

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Date: May 9, 2001

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Signature

Berdan David L.

(type or print name of person certifying)

Sir:

This information disclosure statement is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)).

Listed on the accompanying form PTO/SB/08A are a number of documents which may be considered material to the examination of this application. A copy of each of these documents is provided.

The filing of this information disclosure statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, Applicant(s) reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that a search has been made, or that there does not exist information more material to the examination of the present application. The Examiner is specifically requested not to rely solely on the materials submitted herewith. Instead the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return a copy of enclosed PTO/SB/08A, and to similarly indicate in the official file wrapper of this patent application that the documents have been considered.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Berdan David L.', with a large, loopy initial 'B' on the left and a more fluid signature on the right.

Berdan David L.

Registration No.: 41,614

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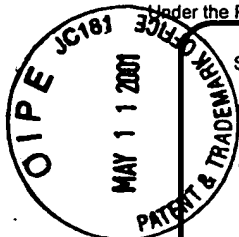
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PTO/SB/08A (10-96)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/818,975
Filing Date	March 27, 2001
First Named Inventor	L. Wayne Sanderson
Group Art Unit	
Examiner Name	
Attorney Docket Number	00-0564.56

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	6,128,425		Dagens et al.	10/03/2000	
	2	6,118,523		Brener et al.	09/12/2000	
	3	6,091,869		Sundelin	07/18/2000	
	4	6,084,233		Hodgson et al.	07/04/2000	
	5	6,041,069		Kashyap et al.	03/21/2000	
	6	6,035,078		Dagens et al.	03/07/2000	
	7	6,011,645		Hong	01/04/2000	
	8	5,995,530		Dutting et al.	11/30/1999	
	9	5,978,531		Funabashi	11/02/1999	
	10	5,949,931		Kitamura	09/07/1999	
	11	5,946,435		Zheng et al.	08/31/1999	
	12	5,926,590		Mao	07/20/1999	
	13	5,917,973		Iwasaki	06/29/1999	
	14	5,832,150		Flint	11/03/1998	
	15	5,754,720		Quinn et al.	05/19/1998	
	16	5,754,714		Suzuki et al.	05/19/1998	
	17	5,687,260		Liedenbaum	11/11/1997	
	18	5,617,200		Vance	04/01/1997	
	19	5,613,020		Uchida et al.	03/18/1997	
	20	5,570,226		Ota	10/29/1996	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

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Application Number	09/740,417
Filing Date	12/19/2000
First Named Inventor	L. Wayne Sanderson
Group Art Unit	
Examiner Name	
Attorney Docket Number	00-0564.43

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	21	5,535,050		Suyama	07/09/1996	
	22	5,533,153		Ota	07/02/1996	
	23	5,486,943		Sasayama et al.	01/23/1996	
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	34	4,257,672		Balliet	03/24/1981	
	35	4,201,443		Hodge	05/06/1980	

FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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